**TERMINAL DISCLAIMER TO OBTAIN A PROVISIONAL DOUBLE PATENTING
REJECTION OVER A PENDING "REFERENCE" APPLICATION**

Docket Number (Optional)

NTIC-F-CON-CIP (NTICPO113B)

In re Application of: Donald A. Kubik, et al.

Application No.: 10/676,752

Filed: 10/01/2003

For: CORROSION INHIBITING COMPOSITION AND ARTICLE CONTAINING IT

The owner*, Northern Technologies International Corp, of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number 10/396,067, filed on 03/25/2003, as such term is defined in 35 U.S.C. 154 and 173, and as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

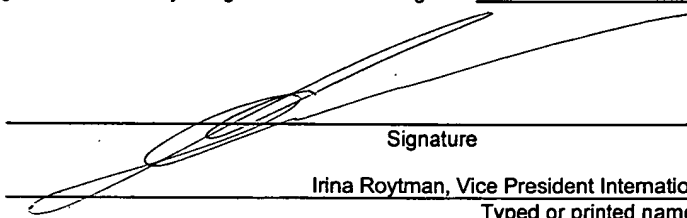
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any patent granted on said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application," in the event that: any such patent: granted on the pending reference application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.

Check either box 1 or 2 below, if appropriate.

1. ☒ For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. ☐ The undersigned is an attorney or agent of record. Reg. No. _____



Signature
Irina Roytman, Vice President International Coordinator

Typed or printed name

February 19, 2007

Date

(216) 595-1740 ext 15
Telephone Number

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) is included.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

02/26/2007 RHEBRAHT 00000025 10676752

02 FC:1814

130.00 qp

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).
Form PTO/SB/96 may be used for making this statement. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Donald A. Kubik, et al.Application No./Patent No.: 10/676,752 Filed/Issue Date: 10/01/2003Entitled: CORROSION INHIBITING COMPOSITION AND ARTICLE CONTAINING ITNORTHERN TECHNOLOGIES INTERNATIONAL CORP., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016740, Frame 0931, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

February 19, 2007

Date

(216) 595-1740 ext 15

Telephone number

Irina Roytman

Typed or printed name

Signature

Vice President International Coordinator

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



113-B

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 07, 2005

PTAS



103035889A

LOBO & CO., L.P.A.
ALFRED D. LOBO, ESQ.
933 THE LEADER BUILDING
526 SUPERIOR AVENUE
CLEVELAND, OH. 44114-1902

Ser. No. 10/676,752

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/05/2005

REEL/FRAME: 016740/0931
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KUBIK, DONALD A.

DOC DATE: 06/21/2005

ASSIGNOR:

VARSHAL, BORIS

DOC DATE: 06/21/2005

ASSIGNOR:

LYUBLINSKI, EFIM YA.

DOC DATE: 06/14/2005

ASSIGNOR:

NYGAARD, BARBARA A.

DOC DATE: 06/14/2005

ASSIGNEE:

NORTHERN TECHNOLOGIES
INTERNATIONAL CORPORATION
23205 MERCANTILE ROAD
BEACHWOOD, OHIO 44122

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016740/0931 PAGE 2

SERIAL NUMBER: 10676752

FILING DATE: 10/01/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: CORROSION INHIBITING COMPOSITION AND ARTICLE CONTAINING IT

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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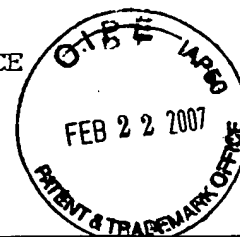
UNITED ST.

07-07-2005



103035889

OFFICE



7-5-05

1. Name of Conveying Party (ies): Donald A. Kubik Boris Varshal Efim Ya. Lyublinski Barbara A. Nygaard	2. Name and address of receiving party: Name: Northern Technologies International Corporation Internal Address: Street Address: 23205 Mercantile Road City: Beachwood State: OHIO 44122
--	---

3. Nature of Conveyance:

ASSIGNMENT

Execution Dates: 06/14/05 & 06/21/05

4. Application number: Ser. No. 10/676,752 executed on 09/23/03

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

5. Name and address of party to whom correspondence concerning this document is to be mailed:

Alfred D. Lobo, Esq
LOBO & CO., L.P.A.
933 The Leader Building
526 Superior Avenue
Cleveland, OH. 44114-1902

6. Total no. of applns. & patents: 1

7. Fee (37 C.F.R. 3.41): \$ 40.00

check # 4066 for \$ 80.00 enclosed

DO NOT USE THIS SPACE

07-05-11 8:49
 OPR/FINANCE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alfred D. Lobo
 ALFRED D. LOBO
 Reg. No. 24,109

Date:

28 June 2005

40E

Total no. of pages including cover sheet, attachments and document: 1

07/06/2005 ECDPER 00000150 10676752

01 FC:8021

40.00 OP

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Before me personally appeared the person above named, who produced proper identification to me, and acknowledged that he executed the foregoing instrument as his own free act and deed this 21st day of June 2005.


Notary Public

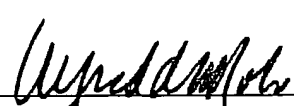
SEAL

Inventor


Efim Ya. Lyubinski

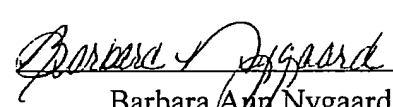
STATE OF OHIO)
COUNTY OF CUYAHOGA)

Before me personally appeared the person above named, who produced proper identification to me, and acknowledged that he executed the foregoing instrument as his own free act and deed this 14 day of June 2005.


Notary Public

SEAL

Inventor


Barbara Ann Nygaard

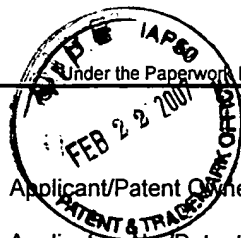
OHIO)
STATE OF ~~MINNESOTA~~)
COUNTY OF ~~CUYAHOGA~~)
~~ANOKA~~)

Before me personally appeared the person above named, who produced proper identification to me, and acknowledged that she executed the foregoing instrument as her own free act and deed this 21st day of June 2005.


Notary Public

SEAL

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Mehmet A. Gencer, et al.

Application No./Patent No.: 10/396,067 Filed/Issue Date: 03/25/2003

Entitled: BIODEGRADABLE POLYMERS CONTAINING ONE OR MORE INHIBITORS AND METHODS FOR PRODUCING SAME

NORTHERN TECHNOLOGIES INTERNATIONAL CORP., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013620, Frame 0527, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

February 19, 2007

Date

(216) 595-1740 ext 15

Telephone number

Irina Roytman

Typed or printed name

Signature

Vice President International Coordinator

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 02, 2003

PTAS

RENNER, OTTO, BOISSELL, ET AL.
JOSEPH J. CRIMALDI
1621 EUCLID AVENUE
19TH FLOOR
CLEVELAND, OH 44115-2191

700029386A***700029386A***

UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/01/2003

REEL/FRAME: 013620/0527

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GENCER, MEHMET A.

DOC DATE: 03/28/2003

ASSIGNOR:

VARSHAL, BORIS G.

DOC DATE: 04/08/2003

ASSIGNOR:

LYUBLINSKI, EFIM YA

DOC DATE: 03/28/2003

ASSIGNOR:

NYGAARD, BARBARA A.

DOC DATE: 04/03/2003

ASSIGNOR:

NARAYAN, RAMANI

DOC DATE: 04/15/2003

ASSIGNOR:

KUBIK, DONALD A.

DOC DATE: 04/03/2003

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013620/0527 PAGE 2

ASSIGNEE:

NORTHERN TECHNOLOGIES
INTERNATIONAL CORPORATION
23205 MERCANTILE ROAD
BEACHWOOD, OHIO 44122

SERIAL NUMBER: 1039⁶067
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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05/01/2003

FORM PTO-1595
(REV 6-93)RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
NTICP0115US

OMB No. 0651-011 (exp. 4/94)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mehmet A. Gencer Boris G. Varshal
Donald A. Kubik Efim Ya. Lyublinski
Ramani Narayan Barbara A. NygaardAdditional name(s) of conveying
party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Northern Technologies International Corporation

Internal Address: _____

Street Address: 23205 Mercantile RoadCity: BeachwoodState/Country: Ohio / USA ZIP: 44122Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: Gencer & Lyublinski - 3/28/03; Kubik & Nygaard - 4/3/03; Varshal - 4/8/03 and Narayan - 4/15/03

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/396,067

B. Patent No.(s)

Additional Numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: Joseph J. CrimaldiInternal Address: Renner, Otto, Boisselle & Sklar, LLPStreet Address: 1621 Euclid Avenue - 19th FloorCity: ClevelandState: Ohio ZIP: 44115-21916. Total number of applications and patents involved: ☒ 17. Total fee (37 CFR 3.41)\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 18-0988

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph J. Crimaldi

Name of Person Signing

Joseph J. Crimaldi
Signature05/01/03
DateReg. No. 41,690 Total number of pages including cover sheet attachments, and document: ☒ 3Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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Attorney Docket: NTICP0115US

ASSIGNMENT

For One Dollar (\$1.00) and other good and valuable consideration received of the hereinafter named assignee, receipt of which is hereby acknowledged, the undersigned

Mehmet A. Gencer
10988 Tanager Trail
Brecksville, Ohio 44141 USA

Donald A. Kubik
P. O. Box 1173
Dickenson North Dakota 58602 USA

Ramani Narayan
4275 Conifer Circle
Okemos, Michigan 48864 USA

Boris G. Varshal
25 Cherry Street, Apt. 3
Lynn, Massachusetts 01902 USA

Efim Ya. Lyublinski
35774 Spatterdock Lane
Solon, Ohio 44139-5096 USA

Barbara A. Nygaard
3892 98th Lane
Circle Pines, Minnesota 55014 USA

hereby sell and assign to **NORTHERN TECHNOLOGIES INTERNATIONAL CORPORATION**, a corporation organized and existing under the laws of the State of Delaware, having a place of business at 23205 Mercantile Road, Beachwood, Ohio 44122, its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries, in and to the inventions in **BIODEGRADABLE POLYMERS CONTAINING ONE OR MORE INHIBITORS AND METHODS FOR PRODUCING SAME** set forth in the application for United States Letters Patent filed on March 25, 2003 and assigned Serial No. 10/396,067 said application for United States Letters Patent, any and all other applications for Letters Patent on said inventions in countries foreign to the United States, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said applications and said Letters Patent; said assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said assignee or otherwise as said assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents of the United States of America and the empowered officials of all other governments being hereby authorized to issue/transfer all said Letters Patent to said assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right heretofore given, and that the undersigned will do all acts reasonably serving to assure that said inventions, patent applications and Letters Patent shall be held and enjoyed by said assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said assignee, to furnish said assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be

Attorney Docket: NTICP0115US

used for establishing the facts of conception, disclosure and reduction to practice of said inventions, and to testify in any proceedings relating to said inventions, patent applications and Letters Patent.

Signed:

Dated:

Mehmet A. Gencer
Mehmet A. Gencer

3/28/2003

Donald A. Kubik
Donald A. Kubik

4/3/2003

Ramani Narayan
Ramani Narayan

04/15/2003

Boris G. Varshal
Boris G. Varshal

4/8/2003

Efim Ya. Lyublinski
Efim Ya. Lyublinski

3/28/2003

Barbara A. Nygaard
Barbara A. Nygaard

4/3/2003

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